

NON-FINANCIAL REQUESTS FORM (1/2)

Please read instructions carefully. Please strike of sections that are not applicable.

Folio No.

Date

Name of 1st Unitholder: PAN¹

Name of 2nd Unitholder: PAN

Name of 3rd Unitholder: PAN

¹Please mention Guardian PAN if the 1st unitholder is below 18 years of age.

PAN / KYC		Please enclose: ☐ Self attested copy of PAN card ☐ KYC Acknowledgement			
1st Unitholder / Guardian		2nd Unitholder		3rd Unitholder	
PAN					

FATCA & CRS INFORMATION (For Individual Investors including Sole Proprietor. Non Individual investors should mandatorily fill separate FATCA form)							
1st Unitholder		2nd Unitholder		3rd Unitholder		Guardian /POA	
Place of Birth							
Country of Birth (✓)	☐ India ☐ Others Please specify	☐ India ☐ Others Please specify	☐ India ☐ Others Please specify	☐ India ☐ Others Please specify	☐ India ☐ Others Please specify	☐ India ☐ Others Please specify	☐ India ☐ Others Please specify
Nationality (✓)	☐ Indian ☐ Others Please specify	☐ Indian ☐ Others Please specify	☐ Indian ☐ Others Please specify	☐ Indian ☐ Others Please specify	☐ Indian ☐ Others Please specify	☐ Indian ☐ Others Please specify	☐ Indian ☐ Others Please specify
Are you a tax resident of any country other than India (✓)	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
If Yes: Mandatory to enclose FATCA / CRS Annexure							

CONTACT DETAILS		☐ 1st Unitholder	☐ 2nd Unitholder	☐ 3rd Unitholder
Mobile		Email		
Mobile No. provided pertains to: (Please ✓)		Email ID provided pertains to: (Please ✓)		
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings		☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings		
☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA		☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA		

ADDITIONAL KYC INFORMATION (Please ✓ whichever is applicable)	
Occupation	☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Professional ☐ Agriculturist
	☐ Retired ☐ Home Maker ☐ Student ☐ Forex Dealer ☐ Others Please specify
The applicant is	☐ a Politically Exposed Person ☐ Related to a Politically Exposed Person ☐ Neither (Not applicable)
Gross Annual Income	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 crore ☐ >1 crore

BANK DETAILS		☐ Change Primary Bank	☐ Add New Bank
Bank A/c No.			
Account Type (Please ✓)	☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ Others (please specify)		
Bank Name			
IFSC (11 digits)		MICR (9 digits)	
Bank City			
New bank details (Attach any one of the following) (✓)			
☐ A cancelled original cheque leaf/self attested copy of cancelled cheque. (Name of the first holder should be printed on the face of the cheque leaf)			
☐ Self attested photocopy of bank passbook or bank account statement (Having entries not older than 3 months)			

REISSUANCE OF STALE INSTRUMENTS		☐ Please enclose the Stale Instrument	
Instrument No.		Instrument Date	Amount
☐ I/We request you to reissue the above instrument after necessary revalidation without change in bank details.			
☐ I/We request you to cancel the above instrument and credit the sum to my bank details registered in the folio indicated above.			

NOMINATION DETAILS		☐ Register ¹ a Nominee for our investments	☐ Modify ¹ nomination registered in the folio	☐ Cancel nomination registered in the folio
Nominee Name				Date of Birth
Address				
Relationship with applicant		Name of Guardian (in case nominee is a minor)		
Nominee (%)		Nominee Signature		

☐ Declare that I / We do not wish to appoint a nominee for our investments in the above folio. I / We understand that in the event of death of all unitholder(s) in the folio, the legal heirs for the unit holders would need to submit all requisite documents for transmitting the units in favour of the legal heir based on applicable legal / regulatory requirements at the stage of initiation of the transmission request.

If you wish to appoint multiple nominees, please use the multiple nomination form available or visit our website to update nomination.

¹ Nomination details provided would over-ride any previous nomination registered in the folio.

SIGNATURE(S)		
1st Unitholder/Guardian/POA	2nd Unitholder	3rd Unitholder

To be signed by (a) Guardian in case of unit holders below 18 years of age; (b) Power of Attorney holder for investments made under a power of attorney; (c) Authorised Signatories in case of non-individual unit holders; (d) Request for nominee registration/cancellation has to be signed by all the unit holders.

I / We hereby read and understood the terms and conditions of the SID and SAI of the PGIM India Mutual Fund.

NON-FINANCIAL REQUESTS FORM (2/2)

Please read instructions carefully. Please strike of sections that are not applicable.

Folio No.

Name of 1st Holder: PAN

CHANGE IN MODE OF HOLDING (to be signed by all unit holders mandatorily)

We would like to change our mode of holding as: ☐ Joint to Anyone or Survivor ☐ Anyone or Survivor to Joint

CONSOLIDATION OF FOLIOS

I / We wish to consolidate all my / our investments in the below folios into the target folio.

Folios to be consolidated (Source Folios):

1. 2. 3.

Target folio [MANDATORY] : This folio has to be one of the source folios.

I/We, agree that name of unitholders, mode & order of holding, tax status, bank mandate, postal address, nominee details are identical in all folios and post consolidation, same will be applicable under requested Target Folio. Please note that your information in the target folio will over-ride any information provided in the source folio. Please note, active folios under special facilities such as Dynamic Asset Allocation Facility & Agelinked Asset Allocation cannot be consolidated.

CHANGE IN TAX STATUS

☐ Resident Indian to Non Resident Indian ☐ Non Resident Indian to Resident Indian

Overseas Address: (Mandatory in case of change in status from Resident Indian to Non-Resident Indian. Should be same as in KRA records)

Bank Account Details (To be mandatorily provided if investor has given change in tax status from RI to NRI or vice versa)

Bank A/c No.

Account Type (Please ✓) ☐ Savings ☐ NRE ☐ NRO ☐ Others (please specify)

Bank Name

IFSC (11 digits)

MICR Code (9 digits)

Please ensure the name in this application form and in your bank account are the same. Please update your IFSC and MICR Code in order to get payouts via electronic mode in to your bank account.

☐ SIP PAUSE ☐ DAAF SIP PAUSE

Scheme **PGIM INDIA** Plan (✓) ☐ Regular ☐ Direct

Option (✓) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

SIP Amount SIP Date SIP Frequency (✓) ☐ Monthly ☐ Quarterly

I / We would like to pause my/our SIP instalments as per details below (✓)

☐ 1 month ☐ 2 months ☐ 3 months ☐ 1 quarter (Quarterly SIPs can be paused only for 1 quarter).

Starting . The SIP Pause request should be submitted atleast 15 days prior to the next SIP date.

CANCELLATION OF REGISTRATION FOR SYSTEMATIC TRANSACTIONS

I / We would like to cancel my/our registration for ☐ SIP[@] ☐ STP^{\$} ☐ SWP^{\$} as per details below :

From Scheme **PGIM INDIA** Plan (✓) ☐ Regular ☐ Direct

Option (✓) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

#To Scheme **PGIM INDIA** Plan (✓) ☐ Regular ☐ Direct

Option (✓) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

#Applicable only for STP

Installment Date Installment Amount

Frequency (✓) ☐ Daily* ☐ Weekly* ☐ Monthly ☐ Quarterly ☐ Annual

*Daily & Weekly frequency is applicable only for STP.
Annual facility available only for SWP.

[@] The discontinuation of SIP request should receive at least 21 business days prior to the next due date of the SIP.

^{\$} The discontinuation of STP & SWP request should receive at least 7 calendar days.

SIP/STP/SWP registered through exchange platform, MF Utility, other online platform will not be eligible to avail this facility.

CHANGE OF IDCW^{\$} OPTION

Scheme **PGIM INDIA** Plan (✓) ☐ Regular ☐ Direct

Option (✓) ☐ IDCW Payout ☐ IDCW Reinvestment

I / We would like to change my/our IDCW Preference as indicated below :

☐ IDCW Payout to IDCW Reinvestment ☐ IDCW Reinvestment to IDCW Payout

^{\$} IDCW stands for Income Distribution cum Capital Withdrawal. Earlier called Dividend option

SIGNATURE(S)

1st Unitholder/Guardian/POA

2nd Unitholder

3rd Unitholder

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I / We hereby read and understood the terms and conditions of the SID and SAI of the PGIM India Mutual Fund.