Application Form For Tata Mutual Fund

1. Advisor / Distributor Information

for purchase in _.

Mulla House, Ground Floor, M. G. Road, Fort, Mumbai - 400 001

TATA mutual fund

_Subject to verification and realisation.

Refer Sec. B

ALL THE DETAILS REQUESTED IN THE FORM ARE MANDATORY FOR EACH OF THE APPLICANTS

ARN / RIA ^ Code		Sub-Broker	ARN Code	Sub-Broker / Bank Br	anch Code	EUIN Code			
without any interact provided by the emp			raction or advice by the employee/relationship mana	ployee/relationship manager/sales person o ger/sales person of the distributor and the	f the above distributo distributor has not cha				
In case the subscription amo other than First time mutual commission shall be paid dir ^ By mentioning RIA code, I /	ount is ₹ 10,000 of fund investor) w ectly by the invest we authorize you	r more and yo II be deducted or to the AMFI I to share with	ur Distributor has opto from the subscription registered Distributors the SEBI Registered In	ed to receive transaction charges, ₹ amount and paid to the distributor based on the investors' assessmen vestment Adviser (RIA) the details o	150/- (for First ti . Units will be iss t of various factor f my / our transac	ime mutual fund investor) or ₹ 100/- (for investor ued against the balance amount invested. Upfront s including the service rendered by the distributor. ctions in the schemes(s) of Tata Mutual Fund			
Sole / 1 st Appl Thumb I	icant Signature mpression			pplicant Signature / humb Impression		3 rd Applicant Signature / Thumb Impression			
2. Applicant's In	formation					Refer Sec. A, C & J			
st Applicant's Det	with 1st applica under the US S mention the C-	nt as a minor. ecurities Act o	. Any applicants shoul of 1933 and corporati	d not be a resident of Canada or a	a person who fall nder the laws of t				
The first applicant >> will be the primary holder and all correspondence will be		. M/s.	C-KYC		PAN / PEKRN				
sent to him/her. Only the first holder can be a minor. Existing Investors may	Date of Birth	(DOR)		600					
mention the Folio no. and proceed to Sec. 4.		(DOB) И М / Ү	Y Y Y	In case of Minor: Proof of E	OOB: Birth ce				
Investors to ensure that PAN is linked to Aadhaar.	Residence Ph	one (prefix	STD Code)			(prefix STD Code)			
	Mobile No.				Mobile belongs to ☐ Self ☐ Parent ☐ Sibling ☐ Custodian ☐ Spouse ☐ Child ☐ Guardian ☐ PMS ☐ POA				
	Email				Email belongs to Self Parent Sibling Custodian Spouse Child Guardian PMS POA				
·	ation (Non In			e annual report or an abridged : Attorney (POA) / Proprietor	•				
	Name								
For Non Individual »	Entity Identifi	er (LEI) Num	ber Mandatory for	Transaction Value of INR 50 cr	ore and above				
To be filled by » Guardian			or Applicant Legal Guardian	Proof of Relationship \square Birth certificate \square School leaving certificate \square Passport \square Others					
	Mobile No.				C-KYC				
Tax Status									
Resident Individual NRI-Repatriation NRI-Non-Repatriation Minor - Resident Indiv Minor - NRI	☐ Hindu ☐ Partne		Family 🗆 Trust 🗆 Body C	Limited Company Limited L Body of Ir Orporate Society / Offit Organization Others (P	ndividuals Club / NGO	ship Overseas Citizen of India Foreign National Resident in India Person of Indian Origin			
3. Contact Detai	ls					Refer Sec. D			
Mailing address is » required for initial communication. We will overwrite this									
address with the 1st Applicants address as per the KRA	PIN		ı	Stato		City			
records	ı IIN			State		Country			
TATA			Acknowl	edgement Slip					
mutual fund Received from Mr /Ms /M/s				ΡΔΝ		₹			

Overseas address												
Mandatory for Non- Resident Individuals and Overseas Investors in addition												
to the mailing address.						City						
	State			ZIP Code		Country						
	_											
4. Payout Bank						1.6.1.1		Refer Sec. G				
		it details provided below CW payouts (if applicable		on record and co	onsidered as	detault bai	пк та	andate to pay redemption				
This must be an Indian account. The	Account number		A/C type \square Savings \square Current \square NRO									
1st applicant should							□ NRNR □ NRE					
be a holder in this account.	MICR		IFSC for RT	GS		IFSC for NE	FT					
	Bank Name and I	Bank Name and Branch										
	Branch City		PIN		}	State						
5. Investment So	heme Detail	c					Dat	fer Sec. F & Product Labels				
Amount Allocation	cheme Detail							psum Lumpsum + SIP				
Scheme Name >	>							>> Regular Direct				
Option >	>						Option »					
IDCW Payout Option > (Select Anyone)	IDCW Reinve	st 🗌 IDCW Payo	out				·					
6. Investment In	strument De	etails						Refer Sec. E				
Mode of Payment	☐ Cheque	☐ Funds Transfe	r 🗆	RTGS	□ NEFT							
The name of the » first applicant should be available	Amount (₹) (A) Dated						Cheque / DD No.					
on the investment Cheque.												
Cheque/ DD to be	Account Number		Savings Current NRO NRNR NRE									
drawn in favour	Bank Details the Bank details	- ,) if yes] 🗆	Different from al	bove [Please	(√) if it is	differe	ent from above and fill in				
of 'Name of the Scheme'	Name of Bank and Branch											
						e tick (🗸) (if the first instalment is not through cheque) tatement O Banker's Attestation						
7. Joint Applicar	nt's Details			o chicque copy	- Juin Staten			Refer Sec. H & I				
Mode of Holding	Single	☐ Joint	Any one o	r Survivor (Defaul	t)							
II nd Applicant's Deta	ils				Investor	rs to ensure	e that	PAN is linked to Aadhaar.				
☐ Mr. ☐ Ms.		Status	C-KYC			P.	AN / F	PEKRN				
		Resident Individual	NRI									
Name							ate of	Birth 				
Mobile No.					Mobile belo	ngs to Parent	Sibli	ing Custodian				
Email					Email belon	gs to Parent	Sibli					
Cheque Details					Spouse	Child	_	rdian PMS POA Acknowledgement Slip				
CHEQUE DELATIS								Acknowledgement SIID				

__ dated _

Call (022) 6282 7777 (Monday to Saturday 9:00 am to 5:30 pm)

_____ A/c. No. ____

Bank ___

Subject to realisation.

III rd Applicant's Detai	ls							Investors	to ens	ure th	nat PAN	N is li	nked t	o Aac	dhaar.
☐ Mr. ☐ Ms.	Status			C-KYC				PAN / PEKRN							
		Resid	lent Individual 🗌	NRI											
Name										Date	of Birt	h			
											D / M	I M	/ Y Y	Y	Υ
Mobile No.								Mobile belon	-						
								Self Spouse	Parent	_	ibling uardia:		Custod PMS		OA
Email								Email belong:			uarura		INIS		Ο Λ
									Parent				Custod		•
								Spouse	Child		uardia	n 🗆	PMS	P	OA
8. Know Your Cu	istomer (KY	C) De	tails	Refer Sec.								Sec.			
CATEGORIES	FIRST APPLICA			SECOND APPLICANT / GUARDIAN					THIRD APPLICANT						
Occupation »	Private Sector Public Sector S Government S Professional Housewife Others (please	Service ector	□ Business□ Agriculturist□ Forex Dealer□ Student	☐ Pub☐ Gov☐ Prof☐ Hou	lic Secto ernmen fessiona isewife		E A F S	Retired Business Agriculturist Forex Dealer Student	☐ Pub☐ Gov☐ Prof☐ Hou	lic Sec ernme fession isewif	e	vice tor	□ Ag □ Fo	isines: gricult orex D udent	urist ealer
Gross Annual Income »	☐ Below 1 Lac		□ 1-5 Lacs		ow 1 Lac			1-5 Lacs	□ Belo					-5 Lac	
	□ 5-10 Lacs □ 10-25 Lacs □ 5-10 Lacs □ 10-25 Lacs □ >25 Lacs-1 crore □ >1 cr								0-25 L 1 cror						
	<u></u>	M 7 Y	as on	₹		M M	7	as	₹ 0	D 7		7	Y	ү. 8	ason
	(not older than 1 ye	ar)			ler than 1				(not old	ler tha	n 1 year	.)			
Others »	Others » Not Applicable Politically Exposed Person Related to Politically Exposed Person			☐ Not Applicable ☐ Politically Exposed Person ☐ Related to Politically Exposed Person			Not Applicable□ Politically Exposed Person□ Related to Politically Exposed Person								
Additional KYC De	tails for Non	- Indi	viduals												
For Non Individuals » only (Companies, Trust, Partnership etc.)	Non Individual in	y to attac vestors ii nge / Mon	h the UBO declara nvolved/providing ney Changer Servic	ation) g any of es	the me Gaming	ntioned s	ervice ng / Lo			mpan	y: [Yes		No	
9. Foreign Accou	nt Tax Com	pliano	ce Act (FAT	CA) {	& CR	S Deta	ils						R	efer :	Sec. k
For Individuals	FIRST APPLICA	ANT (incl	luding Minor)	SEC	COND A	PPLICAN	T / G	UARDIAN		Т	HIRD A	PPLIC	CANT		
Country of Birth »															
Place of Birth »															
Nationality »	Indian		☐ U. S.	☐ India	an		□ι	J. S.	Indiar	1			U. S		
	Others (Please					se specify			_		ase spe	-			
Type of address given at KRA »	Residential or I		Residential Business		idential (istered (or Busines Office		Residential Business			or Busi Office	iness		identi iness	al
Are you also a resident in »	☐ No		Yes	□ No				Yes	□ No				☐ Yes	s	
any other country(ies) for tax purposes? Country of Tax Residency 1 »	If yes, complete s	ection be	low.												
Tax Identification Number 1 \gg															
Identification Type 1 \gg															
If TIN is not available please \gg tick the reason A, B or C *	Reason A	В	С	Reasor	n 🗌 A	А 🗌 В			Reason		Α _	В	С		
Country of Tax Residency 2 »															
Tax Identification Number 2 \gg															
Identification Type 2 \gg															
If TIN is not available please >> tick the reason A, B or C *	Reason	□ B	С	Reasor	1	A 🗌 B			Reason		Α _	В	C		

^{*} Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents; Reason B: No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected); Reason C: Others- Please state the reasons thereof FATCA & CRS Related Details for Non Individuals: Please submit Form W8 BEN-E / Specified declaration (Enclosed)

Select any one		
☐ I do not wish to nominate. I / We hereby confirm that I / We do not wish to appoint at are aware that in case of death of all the account holder(s), my / our legal heirs would ne ☐ Register nomination as below: I / We want the details of my / our nominee with %	ny nominee(s) for my mutual fund units held in my / o ed to submit all the requisite documents issued by Co to be printed in the statement of holding, provided t	ur mutual fund folio and understand the issues involved in non appointment of nominee(s) and further urt or other such competent authority, based on the value of assets held in the mutual fund folio.
1 st Nominee Details	•	
Name		Date of Birth
Email	PAN / PEKRN	
Relationship with Sole/1st Holder	Allocation (%)	Signature of Nominee / Guardian
Identity Type ☐ PAN ☐ Driving Licence ☐ Aadhaar ☐ Passport	Identity Number (Only last 4	digits in case the Identity Type is Aadhaar)
Address of Nominee / Guardian (in case of Minor Nomine	ee)	
State	PIN	Country
Guardian Name in case of Minor Nominee	Guardian PAN	Relationship of Guardian with Nominee
2 nd Nominee Details		
Name	Date of Birth	
Email	Mobile	PAN / PEKRN
Relationship with Sole/1st Holder	Allocation (%)	Signature of Nominee / Guardian
Identity Type ☐ PAN ☐ Driving Licence ☐ Aadhaar ☐ Passport	Identity Number (Only last 4	digits in case the Identity Type is Aadhaar)
Address of Nominee / Guardian (in case of Minor Nomine	26)	
- autorio de la companya de la compa	,	
State	PIN	Country
Guardian Name in case of Minor Nominee	Guardian PAN	Relationship of Guardian with Nominee
3 rd Nominee Details		
Name	Date of Birth	
Email	Mobile	PAN / PEKRN
Relationship with Sole/1st Holder	Allocation (%)	Signature of Nominee / Guardian
Identity Type	Identity Number (Only last 4	digits in case the Identity Type is Aadhaar)
Address of Nominee / Guardian (in case of Minor Nomine	ee)	
State	PIN	Country
Guardian Name in case of Minor Nominee	Guardian PAN	Relationship of Guardian with Nominee

Sign here

1st Applicant Signature / Thumb Impression

2nd Applicant Signature / Thumb Impression

3rd Applicant Signature / Thumb Impression

11. Demat Account Details

Refer Sec. M

Ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. In case the details are found to be incorrect, Units will be allotted in physical mode.

Fill these details only if you wish to have your units in Demat mode.					
Depository participant Name					
Central Depository Securities Limited Target ID No.	National Securities Depository Limited DP ID No.				
	I N Beneficiary Account No.				

12. Declaration and Signatures

kefer Sec. N

I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under-ruling / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and amylar authorised to make this investment. The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India. (3) The information given in / with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the Tata Asset Management Pvr. Ltd. (TAMPI)-Fund and undertake to inform the AMC / Fund/Registrars and Transfer Apent (RTA) in writing about any change in the information in time. (4) That in the event, the above information and/or any part of it is/are tound to be false/ untrulter/legislations (1)/We will lindering a supplication of the scheme information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FUH-ND) etc without and authorization of Mutual Funds. If the Punds of the Strategy and the submissions of the Strategy and the

1st Applicant Signature /

2nd Applicant Signature / Thumb Impression 3rd Applicant Signature /
Thumb Impression